

**In reliance on the SEC No-Action Letter dated September 21, 2001, Sea Port Group Securities LLC is exempt from the quarterly reporting requirement of Rule 11Ac1-6(b). Sea Port Group Securities has routed, on average, 500 or fewer customer orders in covered securities per month during the preceding calendar quarter.**

**If you are a customer of Sea Port Group Securities LLC and would like routing information about a specific order please call 212-616-7700 and ask to speak with the Compliance Department.**